Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-sep-19**

of M/s. MGM Securities (Pvt) Ltd

Submission Date 15-OCT-2019 17:09:35

	Submission Date 13-OCT-2017 17.07.55		Page	1 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
1.1	Property & Equipment	8,334,289	8,334,289	
1.2	Intangible Assets	2,500,000	2,500,000	
	Investment in Govt. Securities			
1.4	Investment in Debt. Securities			
	If listed than: i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	218,000	32,700	185,300
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.	15,259,068	15,259,068	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,400,000	1,400,000	
1.9	or any other entity. Margin deposits with exchange and clearing house.	8 450 000	0	8,450,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	8,450,000	0	8,450,000
1.11	Other deposits and prepayments	4,092,989	4,092,989	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	77,253	0	77,253
	ii. Receivables other than trade receivables	2.542.008	2,542,008	
1.16	Receivables from clearing house or securities exchange(s)	2,542,000	2,542,006	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all	0	0	
	markets including MtM gains.	10,110,100		10,110,100
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	10,142,426	0	10,142,426
1.17	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	 i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. 			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	344,350	0	344,350
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	3,166,433	2,947,587	2,947,587
	value of securities purchased for customers and held in sub-accounts after applying VAR based	3,100,433	2,947,087	2,947,087
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances			
	i. Bank Balance-proprietory accounts	19,870,274	0	19,870,274
	ii. Bank balance-customer accounts	20,478,926	0	20,478,926
	iii. Cash in hand	16,315	0	16,315

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-sep-19**

of M/s. MGM Securities (Pvt) Ltd

Submission Date 15-OCT-2019 17:09:35

Basis Radii of Account: Value 4n (x) Rages Rafe Cut/ Adjustments Not Explore Value 1 Intel Physics 96.092.301 91.0044 65.252.451 1 Intel Physics 90.092.301 91.0044 65.252.451 1 Intel Physics 91.0044 65.252.451 6 1 Intel Physics 91.0044 65.252.451 6 1 Intel Physics 91.0044 65.257.457 6 92.276.475 1 Intel Physics 91.0044 91.0044 91.0044 91.0044 1 Intel Physics 91.0044 91.0044 91.0044 91.0044 1 Intel Physics 91.0044 91.0044 91.0044 91.0044 1 Intel Physics 91.0044 91.		Submission Date 13-OCT-2019 17.09.35		Page	2 Of 3
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Increase 0 0 0 Increase 0		Liabilities			
III Preside signal Swoaped model products 30.275.475 0 67.257 0 67.257 0 67.257 0 67.257 0 67.257 0 67.257 0 67.257 0 67.257 0 67.257 <t< td=""><td>2.1</td><td></td><td></td><td></td><td></td></t<>	2.1				
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Editivity unit equation platform dues 213.201 0 213.201 I. Account sand other payables 1 1 I. Control Installing 1 1 I. Defended Libriting 1 1			30,275,475	0	50,275,475
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iii Advance against shares for increase in Capital of Securities torker. 10% haircut may be allowed in respect of advance against shares. iiii Advance against shares for increase in capital allows the proposed enhanced share capital iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii		b. Other long-term financing	746,698	0	746,698
respect of advance against shares (f): a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been cobtained d. These is no unreasonable delay in issue of shares against advance and all regulatory requirements initiality of the increase in plot up capital have been completed. v. Other liabilities as per accounting principles and included in the financial statements. initiality of subordinated loans which fulfil the conditions specified by SCP are allowed to be deducted. 100% of Subordinated loans which fulfil the conditions specified by SCP are allowed to be deducted. initiality of subordinated loans which fulfil the conditions agent subordinated to ans which fulfil the conditions specified by SCP. In this regard, following conditions are specified: initiality of subordinated loans which during the made to the Liquid Capital and revised Liquid Capital address and the subordinated to ans which fulfil the conditions specified by SCP. initiality of subordinated loans which during the address					
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d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. Image: Control of the increase of capital. e. Auditor is satisfied that such advance is against the increase of capital. Image: Control of the increase of capital. e. Auditor is satisfied that such advance is against the increase of capital. Image: Control of Subordinated Loans I. 1006 of Subordinated Loans Image: Control of Control					
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Nr. Other liabilities as per accounting principles and included in the financial statements Image: Constraint of Con		relating to the increase in paid up capital have been completed.			
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3 Ranking Liabilities Relating to :		The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
3 Ranking Liabilities Relating to :	2.5	Total Liabilites	32.053.428	0	32.053.428
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(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting Image: Commitment is the subscription price, 5% of the Haircut multiplied by the net underwriting commitment is greater than the subscription price, 5% of 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary Image: Commitment is the subsidiary is the subsidiary is the subsidiary is the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Image: Commitment is the subscription is the subscription is the subscription is total liabilities of the subscription in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO Image: Commitment is the subscription is total is total is total liabilities denominated in foreign currency					
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(b) in any other case : 12.5% of the net underwriting commitments Image: 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary Image: 12.5% of the net underwriting commitments The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Image: 12.5% of the net underwriting commitments 3.5 Foreign exchange agreements and foreign currency positions Image: 12.5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO Image: 12.5% of the net position in foreign currency					
3.4 Negative equity of subsidiary Image: constraint of the subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Image: constraint of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions Image: constraint of the subsidiary 3.6 Amount Payable under REPO Image: constraint of the subsidiary		(b) in any other case : 12.5% of the net underwriting commitments			
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) Image: Constraint of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions Image: Constraint of the subsidiary 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency Image: Constraint of the subsidiary 3.6 Amount Payable under REPO Image: Constraint of the subsidiary	3.4	Negative equity of subsidiary			
3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
assets denominated in foreign cuurency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
		assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.7 Kepo adjustment					
	J.1				

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-sep-19**

of M/s. MGM Securities (Pvt) Ltd

Submission Date 15-OCT-2019 17:09:35

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	21,800	21,800
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	21,800	
3.12	Liquid Capital	64,838,903	37,086,841	30,437,203